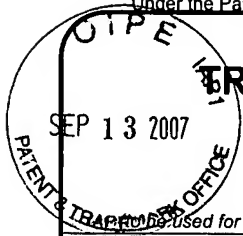


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Total Number of Pages in This Submission

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Application Number

10/733,808

Filing Date

December 10, 2003

First Named Inventor

Chris Hopen

Art Unit

2154

Examiner Name

Joshua Joo

Attorney Docket Number.

PA4407US

## ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

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Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐Reply to Missing Parts/  
Incomplete Application☐Reply to Missing Parts  
under 37 CFR 1.52 or 1.53☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐Petition to Convert to a  
Provisional Application☐Power of Attorney, Revocation  
Change of Correspondence Address☐

Terminal Disclaimer

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Request for Refund

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After Allowance Communication to TC

☐Appeal Communication to Board  
of Appeals and Interferences☐Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)☐

Proprietary Information

☐

Status Letter

☒Other Enclosure(s) (please identify  
below):

- 1) Confirmation postcard;
- 2) Duplicate of PTO/SB/08 (3pp x2);
- 3) Duplicate of IDS (2pp x2).
- 4) 17 Non-patent references.

Remarks

Total page number does not include postcard or non-patent references.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Carr &amp; Ferrell LLP

Cust. No. 22830

Signature

Printed name

Tam Thanh Pham

Date

September 10, 2007

Reg. No.

50,565

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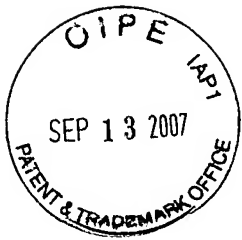
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IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Chris Hopen et al.  
APPLICATION NO.: 10/733,808  
FILED: December 10, 2003  
TITLE: Network Appliance  
EXAMINER: Joshua Joo  
GROUP ART UNIT: 2154  
ATTY.DKT.NO.: PA4407US

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Tam Thanh Pham, Reg. No. 50,565

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08, listing several references.

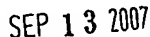
The Examiner is requested to make these references of official record in the application. The references cited may be material to examination of the application and are submitted in compliance with Applicant's duty of disclosure as defined by 37 C.F.R. § 1.56. No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Applicants believe no fees are due with this submission. If a fee is due, however, the Commissioner is hereby authorized to charge any necessary fee to Account Number 06-0600. A copy of this statement is submitted in duplicate for this purpose.

Respectfully submitted,  
Chris Hopen et al.

September 10, 2007

By:   
Tam Thanh Pham, Reg. No. 50,565  
**Carr & Ferrell LLP**  
2200 Geng Road  
Palo Alto, CA 94303  
TEL: (650) 812-3400  
FAX: (650) 812-3444



Approved for use through 09/30/2007. OMB 0651-0031

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*(Use as many sheets as necessary)*

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**Complete if Known**

Application Number	10/733,808
Filing Date	December 10, 2003
First Named Inventor	Chris Hopen
Art Unit	2154
Examiner Name	Joshua Joo
Attorney Docket Number	PA4407US

## U. S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner  
Signature

Date  
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**Complete if Known**

Application Number 10/733,808

Filing Date December 10, 2003

First Named Inventor Chris Hopen

Art Unit 2154

Examiner Name Joshua Joo

Attorney Docket Number PA4407US

Sheet 2

of

3

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"SA-1000: An entry-level SSL VPN solution for secure access," Aventail Data Sheet, 2003 Aventail Corporation.	
		"Roaming Internet Access: Easy. Cost-effective. Global," Aventail.Net Manged Service, Aventail Data Sheet, 2003 Aventail Corporation.	
		"Managed Directory: Reduce Administration. Enable single sign-on," Aventail.Net Managed Service, Aventail Data Sheet, 2003 Aventail Corporation.	
		"User Management: Simplified administration. Fast user activation," Aventail.Net Manged Service, Aventail Data Sheet, 2003 Aventail Corporation.	
		"Aventail EX-1500," SC Magazine Best Buy, Groupt Test VPNs, SC Magazine September 2003.	
		Aventail, Corporate Overview, 2002 Aventail Corporation.	
		MICHAEL VIZARD, "Aventail CEO Evan Kaplan explains why infrastructure service providers are emerging as strategic IT partners," Interviews, InfoWorld, June 8, 2001, 2001 InfoWorld Media Group, Inc.	
		HEATHER B. HAYES, "Security for hire," Professional Edge, InfoWorld, December 10, 2001, Issue 50, 2001 InfoWorld Media Group, Inc.	
		Awards and Recognition, Aventail, 2003 Aventail Corporation.	
		"Aventail EX-1500," Product Data Sheet, 2003 Aventail Corporation.	

Examiner Signature		Date Considered	
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Application Number 10/733,808

Filing Date December 10, 2003

First Named Inventor Chris Hopen

Art Unit 2154

Examiner Name Joshua Joo

Attorney Docket Number PA4407US

Sheet 3

of 3

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		Field Notes, "Outsourcing Can Help," ComputerWorld, July 15, 2002, Vol. 36, No. 29, 2002 Computerworld, Inc.	
		ANN BEDNARZ et al., "The 50 Most Powerful People in Networking," Network World Reprint, October 21, 2002, Vol. 19, No. 42, 2002 Network World, Inc.	
		CHRIS HOPEN, "Face-off: Are SSL-based VPNs a better bet than IPsec VPNs?," Network World Reprint, August 4, 2003, Vol. 20, No. 31, 2003 Network World, Inc.	
		MARK BRADSHAW, "Virtually Complete," European Communications, Reprinted from Summer 2003, www.eurocomms.co.uk.	
		"Aventail Anywhere VPN Module," Aventail Technical Overview, 2002 Aventail Corporation.	
		"SA-900: An enterprise-class SSL VPN solution for secure access," Aventail Data Sheet, 2003 Aventail Corporation.	
		"Aventail ExtraWeb Module," Aventail Technical Overview, 2002 Aventail Corporation.	

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SignatureDate  
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